



Attorney Docket No.: ONV-043.02

In re application of:

Nagesh Mahanthappa

Appl. No.: 09/418,221

Filed: October 14, 1999

For: Neuroprotective Methods and Reagents

Art Unit: 1646

Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on January 10, 2000.

## Carmen Parra

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

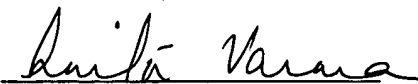
Attached is an executed Declaration and Power of Attorney and Verified Statement Claiming Small Entity for the above-referenced application. Also enclosed is a copy of the Notice to File Missing Parts and a check in the sum of \$1,766 to cover filing fee, extra claim fees and the late filing surcharge. We also enclose a Response to Notice to Comply with Sequence Rules, a hard copy of the sequence listing, a computable readable form of the sequence listing (the diskette) and a Statement to Support Filing and Submission in Accordance with 37 C.F.R. §1.821-1.825.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our **Deposit Account No. 06-1448**.

If there are any questions after reviewing these papers, the Examiner can contact the undersigned at (617) 832-1754.

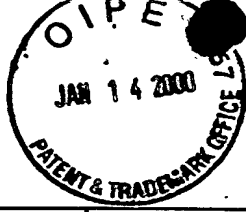
Respectfully submitted,  
FOLEY, HOAG & ELIOT LLP

January 10, 2000

A handwritten signature in cursive script, appearing to read "Anita Varma", written over a horizontal line.

Anita Varma  
Reg. No. 43,221

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

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0262/1110

PATENT GROUP  
FOLEY HOAG & ELIOT LLP  
ONE POST OFFICE SQUARE  
BOSTON MA 02109

NOT ASSIGNED

1646

DATE MAILED:

1-10-00  
6-10-00

11/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 3532.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 260 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 2070 for 115 total claims over 20.  
\$ 312 for 4 independent claims over 3.  
\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/10/2000 CCETIN 00000021 09410221

01 FC:201  
02 FC:205  
03 FC:202  
04 FC:203  
05 FC:204

380.00 OP  
65.00 OP  
156.00 OP  
1035.00 OP  
130.00 OP